^ old title...

Revised title...

Published as a conference paper at ICLR 2025

SCALING STICK-BREAKING ATTENTION: AN EFFICIENT IMPLEMENTATION AND IN-DEPTH STUDY

Shawn Tan MIT-IBM Watson AI Lab shawntan@ibm.com Yikang Shen MIT-IBM Watson AI Lab yikang.shen@ibm.com Songlin Yang MIT yangsl66@mit.edu

Aaron Courville Mila, Université de Montréal courvila@mila.quebec Rameswar Panda MIT-IBM Watson AI Lab rpanda@ibm.com

Overview

- 1. Prior work
- 2. Motivation
- 3. Formulation of Stick-breaking
- 4. Experimental results
- 5. Implementation details

Prior work...

THE NEURAL DATA ROUTER: Adaptive Control Flow in Transformers Improves Systematic Generalization

Róbert Csordás¹ Kazuki Irie¹ Jürgen Schmidhuber^{1,2} ¹The Swiss AI Lab, IDSIA, University of Lugano (USI) & SUPSI, Lugano, Switzerland ²King Abdullah University of Science and Technology (KAUST), Thuwal, Saudi Arabia {robert, kazuki, juergen}@idsia.ch

2.2 GEOMETRIC ATTENTION: LEARNING TO ATTEND TO THE CLOSEST MATCH (HORIZONTAL FLOW)

We propose geometric attention designed to attend to the closest matching element. Like in regular self-attention, given an input sequence $[\boldsymbol{x}^{(1)}, \boldsymbol{x}^{(2)}, ..., \boldsymbol{x}^{(N)}]$ with $\boldsymbol{x}^{(i)} \in \mathbb{R}^{d_{\text{in}}}$, each input is projected to key $\boldsymbol{k}^{(i)} \in \mathbb{R}^{d_{\text{key}}}$, value $\boldsymbol{v}^{(i)} \in \mathbb{R}^{d_{\text{value}}}$, query $\boldsymbol{q}^{(i)} \in \mathbb{R}^{d_{\text{key}}}$ vectors, and the dot product is computed for each key/query combination. In our geometric attention, the dot product is followed by a sigmoid function to obtain a score between 0 and 1:

$$\boldsymbol{P}_{i,j} = \sigma(\boldsymbol{k}^{(j)\top} \boldsymbol{q}^{(i)}) \tag{6}$$

which will be treated as a probability of the key at (source) position j matching the query at (target) position i. These probabilities are finally converted to the attention scores $A_{i,j}$ as follows:

$$\mathbf{A}_{i,j} = \mathbf{P}_{i,j} \prod_{k \in \mathbb{S}_{i,j}} (1 - \mathbf{P}_{i,k})$$
(7)

ModuleFormer: Modularity Emerges from Mixture-of-Experts

Yikang Shen* MIT-IBM Watson AI Lab **Zheyu Zhang** Tsinghua University

Shawn Tan Mila/University of Montreal Zhenfang Chen MIT-IBM Watson AI Lab Chuang Gan MIT-IBM Watson AI Lab

Tianyou Cao

Tsinghua University

3.2 Stick-breaking Self-Attention head

The stick-breaking self-attention is designed for the Transformer decoder to model the attention of each token \mathbf{x}_t to previous tokens $\mathbf{x}_{< t}$. It uses the stick-breaking process view of the Dirichlet process to model the attention distribution instead of the softmax in a standard attention layer. The motivation to pay attention to the latest matching tokens. It can also be considered a simplification of the geometric attention proposed in Csordás et al. [2021].

Given an input vector sequence of t time steps $\mathbf{x}_1, \mathbf{x}_2, ..., \mathbf{x}_t$, each input is projected to a sequence of key vectors $\mathbf{k}_1, \mathbf{k}_2, ..., \mathbf{k}_t$ and a sequence of value vectors $\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_t$. To compute the attention of time step t, the input \mathbf{x}_t is projected to a query vector $\mathbf{q}_t = \mathbf{W}_q \mathbf{x}_t$, where \mathbf{W}_q is the query projection matrix. For all previous steps and the current step $i \leq t$, we compute the probability that the key at time step i matches the query at time step t:

$$\beta_{i,t} = \operatorname{sigmoid}(\mathbf{k}_i^{\mathsf{T}} \mathbf{q}_t). \tag{3}$$

4

To get the attention weights of the most recent matching key, we use the stick-breaking process:

$$p_{i,t} = \beta_{i,t} \prod_{i < j \le t} (1 - \beta_{j,t}).$$
(4)

Published as a conference paper at ICLR 2022

THE NEURAL DATA ROUTER: Adaptive Control Flow in Transformers Improves Systematic Generalization

Róbert Csordás¹ Kazuki Irie¹ Jürgen Schmidhuber^{1,2}

¹The Swiss AI Lab, IDSIA, University of Lugano (USI) & SUPSI, Lugano, Switzerlar ²King Abdullah University of Science and Technology (KAUST), Thuwal, Saudi Arat {robert, kazuki, juergen}@idsia.ch ModuleFormer: Modularity Emerges from Mixture-of-Experts

Shawn Tan Mila/University of Montreal

Yikang Shen*

MIT-IBM Watson AI Lab

Zhenfang Chen MIT-IBM Watson AI Lab

Zheyu Zhang

Tsinghua University

Tianyou Cao Tsinghua University

Chuang Gan MIT-IBM Watson AI Lab

NEURAL LANGUAGE MODELING BY JOINTLY LEARNING SYNTAX AND LEXICON

Yikang Shen, Zhouhan Lin, Chin-Wei Huang & Aaron Courville

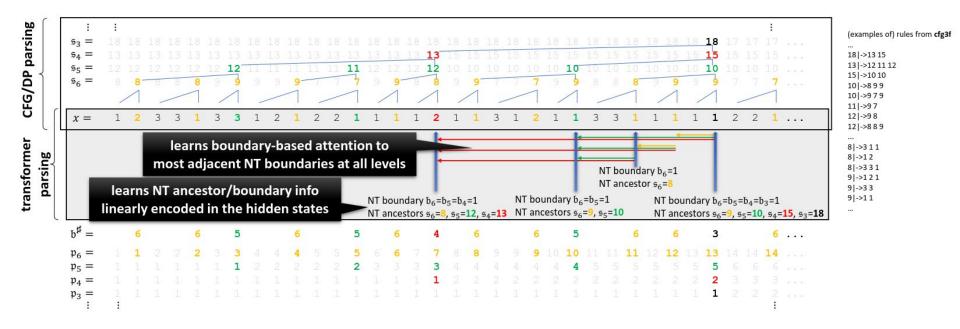
Department of Computer Science and Operations Research Universit de Montral Montral, QC H3C3J7, Canada {yi-kang.shen, zhouhan.lin, chin-wei.huang, aaron.courville}@umontreal.ca

4.1 MODELING LOCAL STRUCTURE

In this section we give a probabilistic view on how to model the local structure of language. A detailed elaboration for this section is given in Appendix B. At time step t, $p(l_t|x_0, ..., x_t)$ represents the probability of choosing one out of t possible local structures. We propose to model the distribution by the Stick-Breaking Process:

$$p(l_t = i | x_0, ..., x_t) = (1 - \alpha_i^t) \prod_{j=i+1}^{t-1} \alpha_j^t$$
(4)

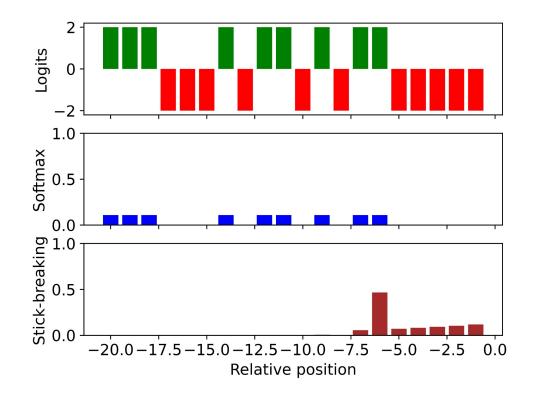
Grammar Structures



https://physics.allen-zhu.com/part-1

Logits
$$z_{i,j} = \frac{\boldsymbol{q}_i^{\top} \boldsymbol{k}_j}{\sqrt{d_{head}}}$$

Softmax $\boldsymbol{A}_{i,j} = \frac{\exp(z_{i,j})}{\sum_{k=1}^{j} \exp(z_{k,j})}$
Stick-breaking $\boldsymbol{A}_{i,j} = \sigma(z_{i,j}) \prod_{i < k < j} (1 - \sigma(z_{k,j}))$



• Pros:

- No position embeddings needed
- Good length extrapolation behaviour
- Possible conditional computation tricks for speedups
- Cons:
 - Computation of log-sigmoids much much slower than exponents in softmax

Experimental Results

- Small Synthetic Task (MQRAR)
- 350M models (Length Extrapolation)
- 1B & 3B models (general LLM evals, RULER)

Multi-Query Repeated Associative Recall

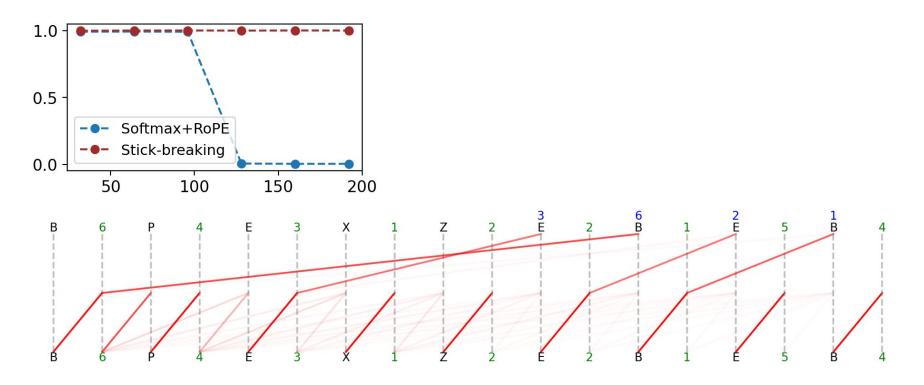
• Setting where variable is repeatedly assigned instead of assigned once

-

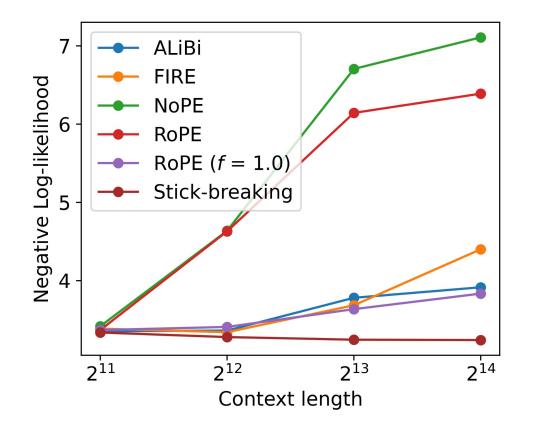
• Task is to retrieve the last assignment

Zoology, https://arxiv.org/abs/2312.04927

Multi-Query Repeated Associative Recall



Length Generalisation



- 350M models
- Trained on 2048 context length
- Evaluated on longer contexts

1B and 3B model results

| Task | ARC-c ARC-e Accuracy (no | | Hella. | OBQA d) | PIQA | RACE Accur | SciQ racy | Wino. | Avg. | Wiki. <i>Ppl</i> . | |
|---------------------|-----------------------------|-------------|-------------|-------------------|-------------|---------------|--------------|-------------|-------------|-----------------------|--|
| 1B Parameter M | Aodels | 6876 19 | | | | 2001.6 | Y | | | | |
| Softmax | 35.8 | 65.6 | 64.8 | 38.8 | 75.0 | 36.5 | 90.5 | 63.4 | 58.8 | 13.8 | |
| Stick-breaking | 37.7 | 67.6 | 65.4 | 36.6 | 76.0 | 37.4 | 91.9 | 63.1 | 59.5 | 13.4 | |
| 3B Parameter Models | | | | | | | | | | | |
| Softmax | 42.2 | 73.1 | 73.2 | 40.8 | 78.8 | 37.4 | 93.5 | 67.6 | 63.3 | 11.3 | |
| Stick-breaking | 44.9 | 74.3 | 74.1 | 40.4 | 79.7 | 37.8 | 93.9 | 68.0 | 64.1 | 10.8 | |
| Gemma2-2B | 50.0 | 80.2 | 72.9 | 41.8 | 79.2 | 37.3 | 95.8 | 68.8 | 65.8 | 13.1 | |
| Qwen1.5-4B | 39.6 | 61.5 | 71.4 | 40.0 | 77.0 | 38.2 | 90.0 | 68.1 | 60.7 | 12.5 | |

1B and 3B model results

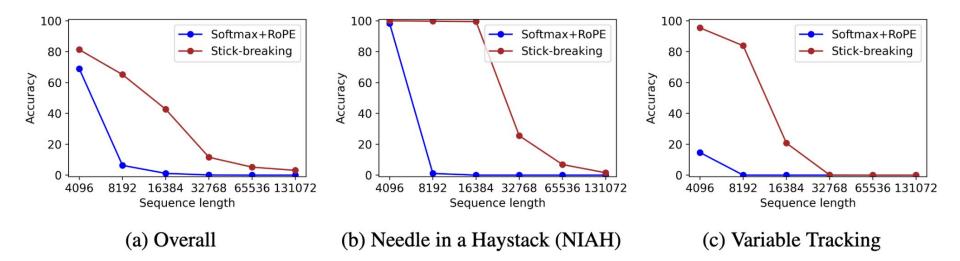
Table 3: MMLU few-shot results

| | MMLU | | | | | |
|----------------|--------|--------|--|--|--|--|
| | 0-shot | 5-shot | | | | |
| 1B Parameter M | lodel | | | | | |
| Softmax | 25.7 | 25.2 | | | | |
| Stick-breaking | 28.4 | 29.3 | | | | |
| TinyLlama | 25.3 | 26.0 | | | | |
| 3B Parameter M | lodel | | | | | |
| Softmax | 46.1 | 49.1 | | | | |
| Stick-breaking | 50.8 | 52.9 | | | | |
| Gemma2-2B | 49.3 | 53.1 | | | | |
| Qwen1.5-4B | 54.2 | 55.2 | | | | |

Table 4: 3B Model GSM8K Results

| | GSM8K | | | | | | | | |
|----------------|--------|-------------|--|--|--|--|--|--|--|
| | 5-shot | 8-shot, CoT | | | | | | | |
| Softmax | 44.1 | 44.2 | | | | | | | |
| Stick-breaking | 42.3 | 49.7 | | | | | | | |

RULER benchmarks

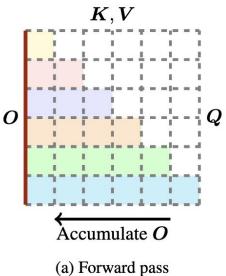


Forward Pass

Forward Computing Equation 1 directly will result in underflow issues, especially with lower precision training. We perform the operations in log-space, which results in a cumulative sum instead:

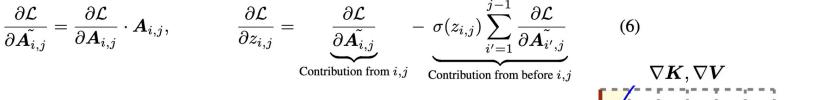
$$\mathbf{A}_{i,j} = \exp\left(\log\beta_{i,j} + \sum_{k=i+1}^{j-1}\log\left(1 - \beta_{k,j}\right)\right) = \exp\left(z_{i,j} - \sum_{k=i}^{j-1}\log\left(1 + \exp(z_{k,j})\right)\right) \quad (4)$$

- Compute in log-space (base 2, faster)
- Skips one extra softplus computation
- Accumulates from right to left (structure of stick-breaking)
- **Red** border on the left denotes cumulative softplus term

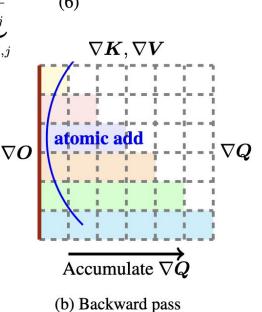


Backward Pass

Backward Let $\tilde{A}_{i,j} = \log A_{i,j}$, then:



- Left to right accumulation of logit gradients
- Unlike softmax, can't accumulate towards K and V
- Instead of 1 atomic add for Q gradients, it's 2 for K and V



Triton Implementation

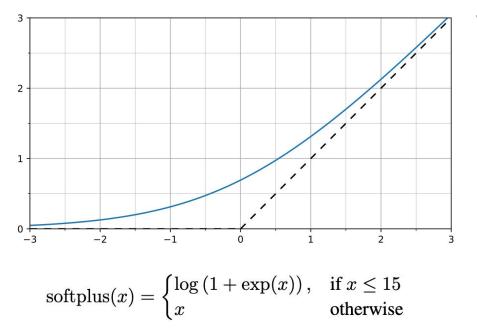
• Softplus acceleration

Naive triton implementation is too slow, used inline ASM for speedups

• Manual atomic_add

Naive atomic add implementation slow, implemented manual while-lock

Softplus



Triton:

```
tl.where(
    x < 15.0,
    tl.math.log2(1 + tl.math.exp2(x)),
    x
)</pre>
```

Equivalent PTX:

| .reg .pred p; | | | |
|-----------------------------------|--------------------------|-------------------------|------|
| <pre>setp.gt.f32 p, \${in_r</pre> | eg}, 15.; | | |
| <pre>@p mov.f32 \${out_reg</pre> | <pre>}, \${in_reg}</pre> | • 7 | |
| <pre>@!p ex2.approx.ftz.f32</pre> | <pre>\${out_reg},</pre> | \${in_reg}; | |
| @!p add.f32 | <pre>\${out_reg},</pre> | <pre>\${out_reg},</pre> | 1.0; |
| <pre>@!p lg2.approx.ftz.f32</pre> | <pre>\${out_reg},</pre> | <pre>\${out_reg};</pre> | - A- |

While-lock for atomic add

- Use HBM variable as lock
- One-lock for entire block atomic add for both k and v blocks

```
while tl.atomic cas(Lock ptr, 0, 1) == 1:
     pass
count = tl.load(Count ptr)
if count == 0:
    tl.store(Count ptr, 1)
else:
     a += tl.load(A ptrs)
     b += tl.load(B ptrs)
tl.store(A ptrs, a)
tl.store(B_ptrs, b)
tl.atomic_xchg(Lock_ptr, 0)
```

Source:

https://triton-lang.org/main/getting-started/tutorials/05-layer-norm.html

Block Skipping during Decoding

- If sum of attention weights sum to 1, following time-steps can be skipped.
- Implementation caveats:
 - Block must all sum to 1 in order to do early exit
 - Have to wait for slowest head for improvements

Q

(c) Block skipping

Additional follow-up improvements

- Works better at larger head sizes: 128 dim
- Per-head normalisation
- Remainder bias

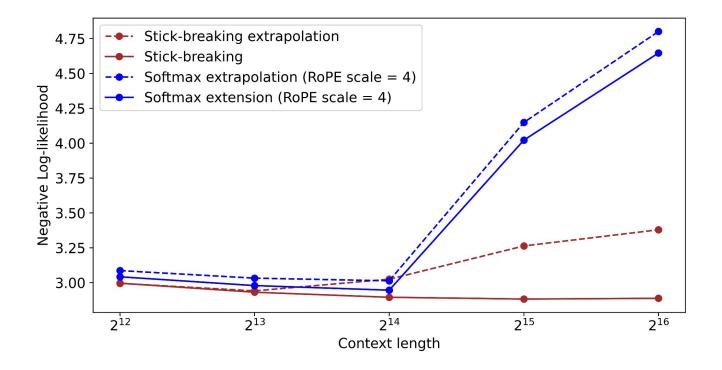
Remainder bias

• Sort of like an 'attention sink' – weighted with remaining mass of attention

 Maintains magnitude of output vector instead of giving almost 0 if attention weights are close to 0

$$oldsymbol{o}_j = \sum_{i=1}^{j-1} oldsymbol{A}_{i,j} \cdot oldsymbol{v}_i + \left(1 - \sum_{i=1}^{j-1} oldsymbol{A}_{i,j}
ight) \cdot oldsymbol{r}$$

Length extension



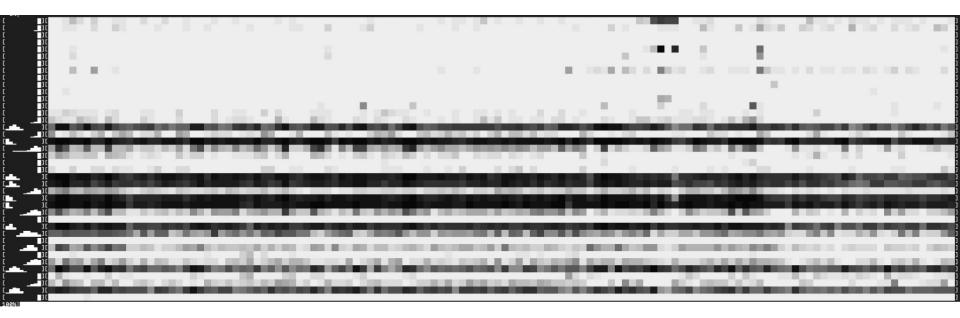
Forget Gate

- Modulate the betas in stick-breaking with a forget gate,
- Forget gate is computed only on the key-value side,
- Enables further sparsity
 -> more space in KV cache

 $\beta_{i,j} = f_i \cdot \hat{\beta_{i,j}}$

Forget Gate

First Layer



Last Layer

Forget Gate with auxiliary loss

First Layer

| [| | | | | | | | | | | | | | | | | | | | |
|--------------|------------|-----------|-------|----------|-------|----------|---|---------------|------|-----------|--------------------|------|-------|--------------|-------|------|-------|---|---|---------|
| Г | | | | # - | | | | | | | | | | | | | | | | 1 |
| | | | | | | | | | | | | | | | | - | | | | |
| [| | İtitt | | | | 1: Î : : | | | | | İİste | | | | | | | | | i i i i |
| [| | | | | | | | | | | | _ | | | _ | | | | | j |
| | | • • • • • | | - !! | | | | | | | | | i | | • • | 1.1 | :.:-! | | | |
| [| _ | | | | | | | | | | | | | | | | | | | |
| Ĺ | | | | | · • _ | - | | | • | | | - | | | | | | | | j |
| [.] | | | | | | !! | | | ii d | • • • • • | (a ¹ .a | | ·* •• | | _ | · .' | | | | |
| [1 • | - | | - | - | - | | - | | | | | | ** !' | I - - | | | - • | | |] |
| [_ _ | | | - | | - × - | | | `_ i _ | | | in n | · .! | - 1. | - | | | - | _ | Ĩ | j |
| L = | · <u> </u> | | | | | · · | | | | 1 | | | - | | _ 8 # | | - | | | 1 |
| [| | <u> </u> | | | _ | | | _ | | | | | | _ | _ | | | | |] |

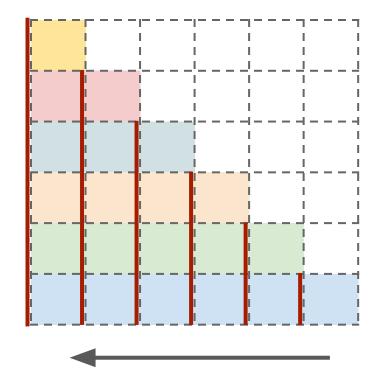
Last Layer

Recap

- Stick-breaking attention: swaps out softmax for stick-breaking process
- **Empirical results:** surprising length-generalisation properties, despite recency bias.
- Triton flash-attention-like implementation: slower, but allows scaling up

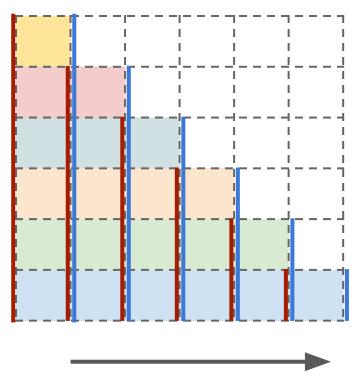
1. Forward pass

- Each (batch, head, horizontal block) assigned to different thread. (Colour on right represent separate threads)
- 2. Calculate stickbreaking output from right to left.
- 3. Save cumulative log-probabilities (Red borders)



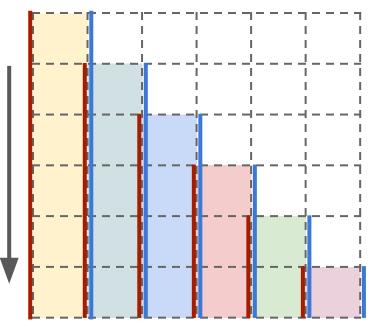
2. Backward pass (Step 1, dQ)

- Each (batch, head, horizontal block) assigned to different thread. (Colour on right represent separate threads)
- 2. Calculate from left to right
- 3. Recompute attention probabilities using memoized values (Red borders)
- 4. Calculate cumulative gradients forward (blue borders)



2. Backward pass (Step 1, dV & dK)

- Each (batch, head, vertical block) assigned to different thread. (Colour on right represent separate threads)
- 2. Calculate from top to bottom
- Recompute attention probabilities using memoized values (Red borders)
- 4. Recompute dV, dK gradients using memoized values (Blue borders)



Sparse computation

Computation can be skipped if blocks sum to 1.

